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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/661,886	09/15/2003	Chris Quinlan	PNM01 010 CONT	8510
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DUANE MORRIS LLP			LASTRA, DANIEL	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/661,886	Applicant(s) QUINLAN ET AL.	
	Examiner DANIEL LASTRA	Art Unit 3688	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 April 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 102-109 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 102-109 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

1. Claims 102-126 have been examined. Application 10/661,886 (METHOD AND SYSTEM FOR REDEEMING PRODUCT MARKETING REBATES) has a filing date 09/15/2003 is a continuation of 09495819 (02/02/2000; Pat: 6748365), Which Claims Priority from Provisional Application 60154087 (09/15/1999).

Response to Amendment

2. In response to Non Final Rejection filed 03/28/2008, the Applicant filed a response on 04/17/2008. In the Non Final Rejection filed 03/28/08, the Examiner mentioned that he was going to allow the application after some limitations were deleted, however, the Examiner was reversed in the Allowance Conference, therefore, the Application is not allowed.

Double Patenting

3. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain a patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer coextensive in scope. The filing of a terminal disclaimer cannot overcome a double patenting rejection based upon 35 U.S.C. 101.

Claim 103 is provisionally rejected under 35 U.S.C. 101 as claiming the same invention as that of claim 36 of copending Application No. 10/098,948. This is a provisional double patenting rejection since the conflicting claims have not in fact been patented.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 102-126 are rejected under 35 U.S.C. 102(e) as being anticipated by Solomon (US 7,120,591).

Claim 102, Solomon teaches:

A method of processing a rebate claim from a purchaser purchasing one or more products from a marketing entity in a single purchase transaction, in which one or more of the products purchased is associated with a promotional offer, comprising the steps of:

(a) receiving at a processing site from a supplier of the products information relating to the promotions associated with such products (see figure 3);

(b) receiving at the processing site from the purchaser subsequent to the completion of the purchase, a unique transaction identifier, such identifier being assigned at the point-of-sale of a purchase transaction and being independent of the identification of products purchased in such purchase transaction (see col 14, lines 1-10);

(c) receiving at the processing site from the marketing entity information relating to the unique transaction identifier and the products purchased in that transaction (see col 9, lines 45-65);

(d) using at the processing site, the unique transaction identifier provided by the purchaser to access the information provided by the supplier and the marketing entity to ascertain the applicability of promotions to the identified purchase transaction (see col 13, line 60 – col 14, line 5); and

(e) validating each of the applicable promotions (see col 13, line 60 – col 14, line 5).

Claim 103, Solomon teaches:

(f) ascertaining the value of the applicable promotions, and providing the purchaser with the ascertained value subsequent to the completion of the purchaser transaction (see col 14, lines 60-67).

Claim 104, Solomon teaches:

A computerized system for processing a rebate claim submitted by a purchaser who, during a sales transaction, had purchased one or more products at least one of which may qualify for one or more rebate promotions, and in which such purchaser had been given a transaction code for identifying such transaction; said system comprising:

at least one data input port for receiving:

(a) product promotion rebate information containing an identification of eligible products and services (see col 13, lines 60-67);

(b) a plurality of purchase data records, each purchase data record pertaining to a sales transaction, wherein each purchase data record (i) includes a transaction code for identifying the sales transaction and (ii) identifies at least one product purchased in connection with such transaction (see figure 4); and

(c) a purchaser rebate claim containing a transaction code assigned at the point of sale (see co 3, line 60 – col 4, line 5);

a memory communicating with said at least one data input port (i) for storing as a stored data record each transaction code and the identity of all products associated with such transaction code, and (ii) for storing said product promotion rebate information (see figure 4);

a processor communicating with said at least one data input port and said memory and which is programmed, in response to receiving a purchaser rebate claim: (i) to seek to match the transaction code submitted in the purchase rebate claim with a transaction code of a stored data record (see col 13, lines 60-67); and (ii) upon successfully matching a transaction code submitted with a rebate claim with a transaction code stored in a stored data record, to compare a first product contained in said stored data record with the stored product promotion rebate information to determine if such first product is eligible for one or more rebates (see col 13, lines 60-67).

Claim 105

wherein the processor is further programmed to:

(iii) to determine if said stored data record contains additional products and, if so, to compare each additional product or with the stored product promotion rebate information to determine if such product is eligible for one or more rebates (see figure 4); and

(iv) to validate each rebate for all eligible products contained in the stored data record associated with the purchaser rebate claim (see col 13, lines 60-67).

Claim 106

wherein said product promotion rebate information further contains the amount of each rebate for each eligible product and wherein said processor is programmed to determine the total value of rebates for the eligible products in the stored data record associated with the purchaser rebate claim (see col 14, lines 60-67).

Claim 107, Solomon teaches:

in which the processor is programmed, responsive to an event, to issue a rebate to the purchaser (see col 13, lines 60-67).

Claim 108

wherein such event is a request from the purchaser (see col 9, lines 25-60).

Claim 109, Solomon teaches:

wherein the " product promotion rebate information further contains an expiration date, and wherein such event is the expiration of a promotion (see figure 7).

Claim 110, Solomon teaches:

A method of processing a rebate claim submitted by a purchaser who, during a sales transaction, had purchased two or more products at least one of which qualifies

for one or more rebate promotions, and in which such purchaser had been given a transaction code for identifying such transaction; comprising the steps of:

(a) receiving and electronically storing product promotion rebate information containing an identification of eligible products and services (see figure 3); (b) receiving and storing a plurality of purchase data records, each purchase data record pertaining to a sales transaction, wherein each purchase data record includes a transaction code for identifying a sales transaction and identifies at least two products, purchased in connection with such transaction (see figure 4); (c) receiving a purchaser rebate claim containing a transaction code and purchaser identification information (see figure 4); (d) using a processor, matching the transaction code submitted in the purchase rebate claim with a transaction code of a stored purchase data record (see col 13, lines 60-67); (e) comparing each product service contained in said stored purchase data record with the stored product promotion rebate information to determine if such product is eligible for one or more rebates (see col 14, lines 60-67); and

(f) validating each rebate for all eligible products contained in the stored purchase data record associated with the purchaser rebate claim (see col 13, lines 60-67).

Claim 111, Solomon teaches:

wherein at least one purchased product does not qualify for a rebate promotion (see col 13, lines 60-67).

Claim 112, Solomon teaches:

wherein said product promotion rebate information contains the amount of each rebate for each eligible product and further comprising the step of determining the total value of rebates for the eligible products in the stored purchase data record associated with the purchaser rebate claim (see col 13, lines 20-40).

Claim 113, Solomon teaches:

comprising further the step, responsive to an event, of issuing a rebate to the purchaser (see col 13, lines 20-40).

Claim 114, Solomon teaches:

wherein Such event is a request from the purchaser (see col 13, lines 60-67).

Claim 115, Solomon teaches:

wherein the product promotion rebate information further contains an expiration date, and wherein such event is the expiration of a promotion (see figure 7).

Claim 116, Solomon teaches:

A method of processing a rebate claim submitted by a purchaser who, during a sales transaction, had purchased one or more products at least one of which qualifies for one or more rebate promotions, and in which such purchaser had been given a transaction code for identifying such transaction; comprising the steps of:

(a) receiving and electronically storing product promotion rebate information containing an identification of eligible products (see figure 3);

(b) receiving a plurality of purchase data records, each purchase data record pertaining to a sales transaction, wherein each purchase data record (i) includes a transaction code for identifying a sales transaction and (ii)

identifies at least one product purchased in connection with such transaction (see figure 4);

(c) electronically storing as a stored data record each transaction code and the identity of all products associated with such transaction code (see figure 4);

(d) receiving a purchaser rebate claim containing a transaction code and purchaser identification information (see col 13, lines 60-67);

(e) using a processor, matching the transaction code submitted in the purchase rebate claim with a transaction code of a stored data record (see col 13, lines 60-67);

(f) upon successfully matching a rebate claim transaction code with a stored data record, comparing a first product contained in said stored data record with the product promotion rebate information to determine if such product is eligible for one or more rebates (see col 14, lines 60-67);

(g) determining if said stored data record contains additional products or and, if so, comparing each additional product with the product promotion rebate information to determine if such product or is eligible for one or more rebates (see col 13, lines 60-67); and

(h) validating each rebate for all eligible products contained in the stored data record associated with the purchaser rebate claim (see col 14, lines 60-67).

Claim 117, Solomon teaches:

wherein said product promotion rebate information further contains the amount of each rebate for each eligible product and further comprising the step of determining the total value of rebates for the eligible products in the stored purchase data record associated with the purchaser rebate claim (see col 13, lines 15-40).

Claim 118, Solomon teaches:

comprising further the step, responsive to an event, of issuing a rebate to the purchaser (see col 13, lines 20-40).

Claim 119, Solomon teaches:

wherein such event is a request from the purchaser (see col 13, lines 60-67).

Claim 120, Solomon teaches:

wherein the product promotion rebate information further contains an expiration date, and wherein such event is the expiration of a promotion (see figure 7).

Claim 121, Solomon teaches:

A system for administering and processing rebate claims for products eligible for one or more rebates, said system comprising a point-of-sale computer system and a remote rebate processing center having a rebate processing center computer system; wherein said point-of-sale computer system comprises:
(a) a terminal for processing a transaction involving the sale of one or more products irrespective of whether such products are eligible for a rebate, and for assigning to a purchaser a transaction code for identifying such transaction (see col 3, lines 60-67);

(b) programming for generating a purchase data record of said transaction which includes said transaction code and identifies at least one product of purchased in connection with such transaction (see figure 4);
(c) a data link for establishing communication with said rebate processing center computer system for transmitting said purchase data record (see figure 4);

and wherein said rebate processing center computer system comprises:
a memory for storing as a stored data record a plurality of transaction codes, and the identity of all products associated with each transaction code, received from said point-of-sale computer system, and for storing product promotion rebate information containing an identification of eligible products and a processor which is programmed, in response to receiving a rebate claim from a purchaser containing a transaction code:
(i) to seek to match the transaction code submitted in the rebate claim with a transaction code of a stored data record (see col 13, lines 60-67);
(ii) upon successfully matching a rebate claim transaction code with a stored data record transaction code, to compare a first product or contained in said stored data record with the stored product promotion rebate information to determine if such first product or is eligible for one or more rebates (see col 13, lines 60-67);
(iii) to determine if said stored data record contains additional products and, if so, to compare each additional product or with the stored production promotion rebate information to determine if such product is eligible for one or more rebates (see col 13, lines 60-67); and

(iv) to validate each rebate for all eligible products contained in the stored data record associated with the purchaser rebate claim (see col 13, lines 30-40).

Claim 122, Solomon teaches:

wherein said product promotion rebate information further contains the amount of each rebate for each eligible product and wherein said processor is programmed to determine the total value of rebates for the eligible products in the stored data record associated with the purchaser rebate claim (see col 13, lines 20-40).

Claim 123, Solomon teaches:

in which the processor is programmed, responsive to an event, to issue a rebate to the purchaser (see col 13, lines 15-40).

Claim 124, Solomon teaches:

A method for administering and processing rebate claims for products eligible for one or more rebates, said method comprising the steps, at a point-of-sale, of:

(a) processing a transaction involving the sale of one or more products irrespective of whether such products are eligible for a rebate, and assigning to a purchaser a transaction code for identifying such transaction (see figure 4);

(b) generating a purchase data record of such transaction which includes said transaction code and identifies at least one product purchased in connection with such transaction (see figure 4);

(c) transmitting said purchase data record to a remote rebate processing center (see col 3, lines 45-60); and further comprising the steps, at a remote rebate claim processing system, of:

receiving said purchase data record and electronically storing as a stored data record the transaction code and the identity of all products associated with the transaction code contained in said purchase data record; electronically storing product promotion rebate information containing an identification of eligible products and using a processor, in response to receiving a rebate claim from a purchaser containing a transaction code (see col 13, lines 50-67),

(i) seek to match the transaction code submitted in the rebate claim with a transaction code of a stored data record (see col 13, lines 50-67);
(ii) upon successfully matching a rebate claim transaction code with a stored data record transaction code, compare a first product of contained in said stored data record with the stored product promotion rebate information to determine if such first product or is eligible for one or more rebates (see col 13, lines 20-40);
(iii) determine if said stored data record contains additional products and, if so, compare each additional product or service with the stored production promotion rebate information to determine if such product is eligible for one or more rebates (see col 14, lines 1-25); and
(iv) validate each rebate for all eligible products contained in the stored data record associated with the purchaser rebate claim (see col 14, lines 1-25).

Claim 125, Solomon teaches:

wherein said product promotion rebate information contains the amount of each rebate for each eligible product and further comprising the step of determining the total

value of rebates for the eligible products in the stored data record associated with the purchaser rebate claim (see col 13, lines 20-40).

Claim 126, Solomon teaches:

in responsive to an event, of issuing a rebate to the purchaser (see col 13, lines 20-40).

Response to Arguments

5. Applicant's arguments with respect to claims 102-126 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to DANIEL LASTRA whose telephone number is 571-272-6720 and fax 571-273-6720. The examiner can normally be reached on 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, ERIC W. STAMBER can be reached on 571-272-6724. The official Fax number is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/DANIEL LASTRA/
Art Unit 3688
July 26, 2008